IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: DANIEL C. EDELSTEIN, ET AL

FOR: LOW K DIELECTRIC FILM DEPOSITION PROCESS

<u>INFORMATION DISCLOSURE STATEMENT</u> UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

**Assistant Commissioner for Patents** 

Washington, D.C. 20231

Sir:

In compliance with the duty to disclose, applicants submit herewith a copy of each

publication designated by 37 CFR § 1.56 of which they are aware; the publications are listed on

attached form PTO-1449. The present Information Disclosure Statement shall not be construed as

a representation that a search has been made or that no other art than that identified on the attached

form PTO-1449 exists.

Consideration of this Information Disclosure Statement is respectfully requested, since the

Examiner may consider the information provided herein material to the patentability of the subject

application as defined in 37 CFR § 1.56.

IBM/FIS9-2001-0156

Since this Information Disclosure Statement is being filed within three months of the filing date of the subject application, no fee or certification under 37 CFR § 1.97 (e) is required.

However, in the event the Commissioner of Patents deems that any fee is required under 37 CFR §§ 1.16 and 1.17 in connection with this application, applicants' attorneys authorize that such fee be charged to Assignee's Deposit Account No.09-0458.

Respectfully submitted,

DANIEL C. EDELSTEIN, et al

CANTOR COLBURN LLP Applicants' Attorneys

Bv:

Peter R. Hagerty

Registration No. 42,618 Customer No. 23413

Date:

September 6, 2001

Address:

55 Griffin Road South, Bloomfield, CT 06002

Telephone: (860) 286-2929

ET134022467US

-mars 11/8/01

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS EXPRESS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231 APPLICANT AND/OR ATTORNEY REQUESTS THE DATE OF

DEPOSIT AS THE FILE DATE.

DATE OF DEPOSIT:\_

EXPRESS MAIL ( 9.:

BY SIGNATURE & DATE:

2

IBM/FIS9-2001-0156

## Docket Number (Optional) Application Number FIS9-2001-0156 INFORMATION DISCLOSURE CITATION Applicant(s) DANIEL C. EDELSTEIN, ET AL (Use several sheets if necessary) Filing Date Group Art Unit **U.S. PATENT DOCUMENTS** EXAMINER FILING DATE CLASS SUBCLASS REF DOCUMENT NUMBER DATE NAME INITIAL IF APPROPRIATE 6,147,009 11/14/2000 GRILL et al. 438 780 06/29/1998 6,159,871 12/12/2000 LOBODA et al. 438 786 05/29/1998 FOREIGN PATENT DOCUMENTS Translation REF DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS NO YES OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) DATE CONSIDERED **EXAMINER**

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.